## SEC Form 4

# FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

I

| OMB Number:             | 3235-0287               |
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person*<br><u>MEYER DAVID J</u>                 |                  |       | 2. Issuer Name <b>and</b> Ticker or Trading Symbol<br>AVISTA CORP [ AVA ] | (Check            | tionship of Reporting Pers<br>all applicable)<br>Director<br>Officer (give title | son(s) to Issuer<br>10% Owner<br>Other (specify |  |  |  |  |
|--|------------------|-------|---|-------------------|--|---|--|--|--|--|
| (Last)<br>1411 E MISSI   | (First) (Middle) |       | 3. Date of Earliest Transaction (Month/Day/Year)<br>02/02/2017            | X                 | below)<br>Vice Presid  | below)  |  |  |  |  |
| (Street)   |                  |       | 4. If Amendment, Date of Original Filed (Month/Day/Year)                  | 6. Indiv<br>Line) | ridual or Joint/Group Filing   | ) (Check Applicable                             |  |  |  |  |
| SPOKANE  | WA               | 99202 |   | X                 | Form filed by One Repo   | orting Person                                   |  |  |  |  |
| (City)   | (State)          | (Zip) |   |                   | Form filed by More thar<br>Person  | n One Reporting                                 |  |  |  |  |
| Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned |                  |       |   |                   |  |   |  |  |  |  |

| 1. Title of Security (Instr. 3)        | 2. Transaction<br>Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 3.<br>Transa<br>Code (<br>8) |   |                    |               |         | 5. Amount of<br>Securities<br>Beneficially<br>Owned Following | 6. Ownership<br>Form: Direct<br>(D) or Indirect<br>(I) (Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership |  |
|--|--|---|------------------------------|---|--------------------|---------------|---------|---|---|---|--|
|  |  |   | Code                         | v | Amount             | (A) or<br>(D) | Price   | Reported<br>Transaction(s)<br>(Instr. 3 and 4)                |   | (Instr. 4)  |  |
| Common Stock - Performance Shares      | 02/02/2017                                 |   | М                            |   | 2,552              | A             | (1)     | 8,947   | D   |   |  |
| Common Stock - Performance Shares      | 02/02/2017                                 |   | F                            |   | 698 <sup>(2)</sup> | D             | \$38.42 | 8,249   | D   |   |  |
| Shares held in 401(k) Plan             |  |   |                              |   |                    |               |         | 476.8295  | Ι   | held by<br>Trustee                                  |  |
| Shares held in IRA by DA Davidson      |  |   |                              |   |                    |               |         | 1,142   | Ι   | held by<br>broker                                   |  |
| Shares held in Executive Deferral Plan |  |   |                              |   |                    |               |         | 2,751.7022  | Ι   | held by<br>Trustee                                  |  |

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3)                  | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 4.<br>Transa<br>Code (<br>8) |   | of<br>Deri<br>Secu<br>Acqu<br>(A) c<br>Disp<br>of (D | osed<br>))<br>tr. 3, 4 | Expiration Date<br>(Month/Day/Year)<br>ed |                    | Amount of       |  | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Number of<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 4) | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|--|---|--|---|------------------------------|---|--|------------------------|---|--------------------|-----------------|--|---|--|--|--|
|  |   |  |   | Code                         | v | (A)  | (D)                    | Date<br>Exercisable                       | Expiration<br>Date | Title           | Amount<br>or<br>Number<br>of<br>Shares |   |  |  |  |
| Conversion<br>of 2014<br>Performance<br>Shares<br>related to<br>CEPS | (1)   | 02/02/2017                                 |   | М                            |   |  | 1,575                  | (1)                                       | (1)                | Common<br>Stock | 1,575                                  | (1)   | 0  | D  |  |

#### Explanation of Responses:

1. Each performance share represents a contingent right to receive a share of Avista Common Stock upon achieving a specified performance measure.

2. Shares withheld to pay income tax on Performance Shares acquired 2/2/17.

/s/David J. Meyer

02/06/2017

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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