SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

| OMB Number: | 3235-0287 | | | | | | | |
|--------------------------|-----------|--|--|--|--|--|--|--|
| Estimated average burden | | | | | | | | |
| hours per response: | 0.5 | | | | | | | |

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person* <u>MEYER DAVID J</u> | | | 2. Issuer Name and Ticker or Trading Symbol AVISTA CORP [AVA] | | tionship of Reporting Pers all applicable) Director Officer (give title | son(s) to Issuer 10% Owner Other (specify | | | | |
|--|-------------------|----------|---|---|--|---|--|--|--|--|
| (Last) 1411 E MISSI(| (First) ON AVE | (Middle) | 3. Date of Earliest Transaction (Month/Day/Year) 02/27/2020 | | below) Vice Presid | below) | | | | |
| (Street) | | | 4. If Amendment, Date of Original Filed (Month/Day/Year) | 6. Individual or Joint/Group Filing (Check Applicable Line) | | | | | | |
| SPOKANE | WA | 99202 | | X | Form filed by One Repo | orting Person | | | | |
| (City) | (State) | (Zip) | | | Form filed by More thar Person | n One Reporting | | | | |
| Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned | | | | | | | | | | |

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | | | 4. Securities Disposed Of | | | 5. Amount of Securities Beneficially Owned Following Reported | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | | |
|--|------------|--|------------------------------|--|----------------------|---|---|---|---|------------------------------|
| | | | Code V | | Amount (A) or (D) | | Price | Transaction(s) (Instr. 3 and 4) | | (1150.4) |
| Common Stock-Performance Shares | 02/27/2020 | | М | | 2,100 | A | (1) | 5,808 | D | |
| Common Stock-Performance Shares | 02/27/2020 | | F | | 676 ⁽²⁾ | D | \$49.49 | 5,132 | D | |
| Estimated Shares Held in 401(k) Plan | | | | | | | | 523 | I | Held in 401(K) Plan |
| Shares held at DA Davidson Broker | | | | | | | | 1,142 | I | Shares Held by Broker |
| Shares held in executive deferral plan | | | | | | | | 3,018.2892 | I | Shares Held by Trustee |

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transa Code (8) | | of Deri Secu Acqu (A) c Disp of (D | osed)) r. 3, 4 | Expiration Date (Month/Day/Year) | | te Amount of ear) Securities | | 8. Price of Derivative Security (Instr. 5) | 9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4) | 10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) |
|--|---|--|---|------------------------------|---|--|-----------------------|-------------------------------------|--------------------|---------------------------------|--|---|--|--|--|
| | | | | Code | v | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | | | |
| Conversion of 2017 Performance Shares Related to CEPS | (1) | 02/27/2020 | | М | | | 1,388 | (1) | (1) | Common Stock | 1,388 | (1) | 0 | D | |

Explanation of Responses:

1. Each performance share represents a contingent right to receive a share of Avista Common Stock upon achieving a specified performance measure.

2. Shares withheld to pay income tax on Performance Shares acquired 2/27/20.

/s/ David J. Meyer

** Signature of Reporting Person

02/28/2020

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.